

**Name of Candidate:**

Brenton Sullivan

**Position:**

Senior Operational Risk Manager Investment Management

**Organisation:**

TCorp

**Short Biography:**

Having spent 17 years developing, delivering, embedding and promoting the benefits of risk & compliance within the industry, I felt I had acquired enough knowledge and experience to add value on a larger scale through serving on the Board of the GRCI.

I believe entirely in what we do and the value we can add – but I also believe that we have yet to land the consistency of delivery or quality of outcomes we could be achieving individually or as a collective.

As a member of the Board it would be my goal to continue to advance the vision of the GRCI in which supports, advises and guides the discipline for the benefit of all members.

**GRC Experience:**

Have been a Risk & Compliance professional for 17 years – Domestically and Internationally.

Highly experienced risk and compliance professional specialising in developing, delivering and maintaining commercially viable business solutions that comply with domestic and international regulatory requirements. I have leveraged a unique combination of legislative knowledge, risk appetite, commercial synergies and business acumen to fuel success.

Consistently demonstrate influential leadership, client relations and advocacy and consensus building to achieve results. Proven ability to research / analyse rules / key risks and regulations with a view to implementing and communicating to senior management the appropriate strategies to mitigate regulatory and operational risk. Proven ability to handle multiple project deliverables with high ethical standards, time management and organisational skills

Developed, delivered and embedded Risk & Compliance solutions across various aspects of the financial services industry, such as Banking, Broking, Superannuation, Custodians, Investment Management and Insurance.

- Regulatory Compliance
- Corporate Governance
- Risk Culture Development & Implementation
- Regulator Relationship Management
- Operational Risk Assessment
- Contract / Outsourcing / Supplier Management
- Business Continuity
- Conflict Management

- AML / Fraud / Sanctions
- Complaint Handling
- Compliance & Audit Monitoring / Assurance
- Framework Development & Enhancement
- Training

### **Previous Contributions to the GRC Institute and the Profession**

I have been a financial member of the GRCI for over 15 years. I have interpreted legislation, standards and regulatory directives both domestically and internationally for various top tier firms. I have built numerous frameworks, assurance programs and training throughout the years promoting risk and compliance management as a value ad proposition. You could say I have assisted businesses understand and embed the changing face of our discipline for some time now.

### **What I will contribute going forward as a Director**

Dedication to uphold the tenants of the GRC Institute

Champion GRCI training and accreditation as the foremost industry standard for employers seeking R&C quality

Champion the further maturity and importance of both the technical and soft skills required for R&C success

Maintain accepted standards and proactively advance the commercial application of the discipline

Champion the R&C profession as a conscious, respected and aspirational career choice

Conscientious custodian of R&C profession, the GRCI brand and vision

Provide insight and guidance to the GRCI and its members

### **Skills, qualifications and knowledge I will bring to the Board**

2018 CCRP accreditation

Knowledge of domestic and international regulatory requirements

Risk theory coupled with practical and commercial application

Thought leadership

Pragmatic

Integrity

**Name of Candidate:**

Cheyenne Walker

**Position:**

Managing Director

**Organisation:**

Australian Independent Compliance Solutions

**Short Biography:**

Having

I have held senior compliance roles with ING Australia, Tandem Financial Advice, Suncorp Bank, Madison Financial, AdviceNet and other smaller national dealer groups. I have had over 30 years' experience in the industry specialising in corporate governance holding positions as director and company secretarial roles for both private and public companies. I have previously worked as an authorised representative allowing me to have a commercial, practical approach to AFSL, ACL and GI compliance. Over the past 12 months, I have operated my own outsourcing compliance company - Australian Independent Compliance Solutions (AICS). I am a member of the Governance, Risk and Compliance Institute (GRC), Financial Planning Association of Aust (FPA), Independent Compliance Committee Member Forum (ICCMF) and a former member of the FPA Professional Conduct Committee (PCC). My motto - "Success without Stress".

Some of the major projects that I have led include:

- Member of the Governance, Risk and Compliance Institute (GRC) since 2000 and previous on the working group in Queensland arranging functions and events with ASIC, APRA, AUSTRAC and ACCC;
- Advising AFS and ACL licensees on their corporate governance frameworks;
- Various appointed positions with Research/Investment Committees, Risk and Compliance Committees, Audit Committee and FoFA/FSR committees;
- Established the annual Compliance Summit Days which is attended by various licensees and financial advisers. Presenters include CIO, FPA, Kaplan Education, expert lawyers and APRA etc;
- Built a compliance software system for AFSLs and ACLs called 'Compliance Logic'.
- This is a fully operational system incorporating audits, action plans, audit reports, training records, accreditations, adviser database, document library, approved licensee product lists and workflow tasks for marketing approvals etc;
- Established the Brisbane Compliance Forum including hosting events and arranging regular committee meetings, and
- Judge on the 2018 PlanPlus Global Financial Planning Awards where financial planners across the globe submit their financial plans for judging.

**GRC Experience:**

I have been a long-standing member of the GRCI and believe in the vision to promote professionalism within compliance. When I started in compliance 30 years ago, there was no profession, and no one wanted to know about compliance. I have continuously promoted and reinvented myself over the years and now

# Candidate and Election Material

## GRCI Director Elections 2018

I am proud to call myself a compliance professional. I know it takes hard work to get the job done and I am here for the long run. I have contributed towards the GRC profession as part of the Queensland working group to promote and organise events and forums. I was actively increasing the membership within the Queensland base and bring local representation. I like to encourage members to get involved.

### **Previous Contributions to the GRC Institute and the Profession**

I believe when you receive so much passion for an industry, there is a point of time you must give back. I have reached that point in my career that I can dedicate time and resources to build GRCI. Over the years I have been a mentor to so many young professionals who now I am proud to say are our future leaders. I want to encourage these leaders to continue to work together to build GRCI into a stronger industry leader. My GRCI focus is to implement greater networking opportunities across the current membership base. I look forward to continuing my previous work with the GRCI and making it more of a life time membership for all members.

### **What I will contribute going forward as a Director**

I have valuable experience and contacts within financial services and credit assistance industries. These industries are in for major changes following the Royal Commission. As a GRCI director, we can use our experience to help shape these industries and work with the regulators on policies for the good of all. I want to focus on increasing membership numbers across the different industries and leading by example. I am a frequent public speaker and would contact businesses to speak at their conferences etc to promote the accreditation program and benefits of the GRCI. I am passionate about spreading the compliance message in order to educate people on the important of compliance as well as effectively managing risks in our work and personal lives. Compliance must form part of everyone's day to day business activities and decisions. I look forward to being part of the fastest growing industry in Australia. Members make the GRCI. Member support and contributions make the GRCI an industry body leader.

### **Skills, qualifications and knowledge I will bring to the Board**

My involvement in the GRCI Board would allow me to further expand my ability to influence compliance and risk practitioners to lift our compliance standards. I want to encourage cross industry leaders to get involve in our working groups to share and resolve issues and build standards for the benefit for all members. My vision is compliance will move out of the industry businesses and form independent compliance companies which will be engaged to provide independent services - this is our future. GRCI is about being truly independent recognised compliance professionals and industry leaders. We have the opportunity to be front runners in implementing these changes. Let's start sharing our vision to others.

**Name of Candidate:**

Christina Wang

**Position:**

Risk and Compliance Manager

**Organisation:**

Collinson and Co

**Short Biography:**

Christina Wang has been working in compliance in New Zealand financial service industry since 2012 and she is a Certified Anti-Money laundering Specialist (ACAMS) and Certified Compliance and Risk Professional (GRCI). She has a Master of laws from the University of Auckland and a Bachelor of laws from Zhejiang Gongshang University, and she is admitted to practice law in both China and New Zealand. Christina has also earned her Graduate Certificate in Compliance and Risk Management from GRC Institute. Before came to New Zealand, Christina was a litigator in Shanghai whose main area of practice is commercial law. Furthermore, she holds International Certificate in Training Skills from the City & Guilds of London Institute and Advanced Interpreter Certificate from Shanghai Interpretation Accreditation. Combining a mix of legal background and training skills, she works as risk and compliance professional.

**GRC Experience:**

Christina Wang first attended GRC 2015 NZ Summit and has been a GRC member ever since. She attended all GRC NZ Summit since 2015 and she has earned her Graduate Certificate in Compliance and Risk Management from GRC Institute in Jan 2018. She is accredited CCRP.

**Previous Contributions to the GRC Institute and the Profession**

I promote governance, risk management and compliance (GRC) to my work contacts and partners regularly. As a result, some Chinese financial service providers have sent members to join GRC Institute and to take the CAMS certifications. This is something I pride myself on as I contribute to the education and overall compliance attitude improvements in the industry. I am seen as a leading expert among the Chinese compliance community and have made contacts and connections across New Zealand. I have gathered a group of like-minded compliance professionals and we collaborate and discuss with each other on compliance issues which we have encountered. Furthermore, we are taking things one step further as we have established a Wechat official account for the purpose of educating the Chinese community on GRC issues. We are committed to become an advocate of GRC.

**What I will contribute going forward as a Director**

I am passionate about the field of GRC as I find that work enjoyable and meaningful. I communicate with internationally known specialists and discuss our New Zealand related issues with them. I still have great connections with the legal professions in China, mainly Hangzhou and Shanghai. I have interned and worked for Chinese law firms before coming to New Zealand for my Masters in law and at times provided a Western perspective to my previous employers. All this is important as I will provide International Connectiveness, Cross Cultural Insight and Effective Communication to the Asian business community. I am very motivated and keen to develop a platform on which to provide GRC to the Chinese community.

### **Skills, qualifications and knowledge I will bring to the Board**

I have a wealth of working experience in two very different cultures and jurisdictions. My current position sees me giving compliance advice and acting as a defacto translator between many New Zealand-Chinese co-operatives and financial services providers. My dual-legal qualifications give me a strong foundation to bridge the differences in cultures. One major aspect that I have identified as being lacking in the overall Compliance Industry is international (Asian) expertise. What has often been misunderstood is not that Asian providers are crooked, it mostly stems from a difference in background documentation, expectations and standards. The specialist expertise that I will bring to the board is the veritas to speak to the Chinese community and be listened to. These are soft skills which, in addition to my qualifications and practical work experience, I believe are hard-placed for anyone else to replicate in New Zealand. You may find someone with individual elements of my expertise, but few with everything in one place.

**Name of Candidate:**

Craig Greenwood

**Position:**

CCO

**Organisation:**

Toyota Finance Australia

**Short Biography:**

I am an experienced senior risk and compliance practitioner with around 17 years' experience within financial services. This has included time spent in insurance, as well as across a number of domestic 'big 4' and international banks. I am currently Compliance and AML Officer at Toyota Financial Services, one of Australia's largest non-bank lenders.

I am a member of a number of international Compliance and AML associations, and hold 'certified professional' status in Compliance, Risk and Insurance. I also hold a number of postgraduate qualifications in AML, Compliance, Risk Management, and Applied Finance, and a Masters in Ethics from UNSW.

**GRC Experience:**

I have been a member of the GRCI for over 15 years, and first became an 'Associate' back in 2004. Since then I have undertaken the Graduate Certificate in Compliance Management, and hold both CCP and CRP accreditation. I have been actively involved during this time, having been involved in a number of working groups and white papers over the years, as well as being involved in the mentoring program, and key projects including work on AS 3806.

Most recently I have been chairing a working group with fellow members on the topic of 'Compliance KRIs', the results of which I hope we be shared with you all soon.

For the last three years I have been proud to represent GRCI members as both a Director and Treasurer, and seek the opportunity to continue to do so. This is to build on the foundations laid over the last period, and to help bring to fruition a number of important initiatives currently underway to benefit members in the long term.

**Previous Contributions to the GRC Institute and the Profession**

Through both GRCI and AFIA I am active in lobbying regulators both face to face and by way of providing input into regulatory submissions.

I also regularly involve myself in working groups and more informal sessions, primarily with industry groups, but also with service providers and other bodies including the RegTech Association. I have also had the opportunity to speak at a number of conferences on topics including incident management, regulatory breach reporting, whistleblowing, and aspects of general compliance and conduct risk.

Over the years I am also proud to have mentored a number of individuals (both internal and external), and this is one initiative within the GRCI I would like to see continue.

### **What I will contribute going forward as a Director**

Going forward my main aim as a Director will be to see through a number of the longer-term initiatives that the Board has been working on for some time. For this to be a success I think there needs to be a level of continuity, and as one of the longer serving Directors , and a long standing GRCi member, I think I am well placed to do so.

### **Skills, qualifications and knowledge I will bring to the Board**

I have three years current experience as both Director and Treasurer, as well as over 15 years as an active member. This means I have an insight into both the objectives and the challenges faced, as well as an appreciation of the expectations of the membership.

I also have a wealth of practitioner experience across compliance, risk and governance, as well as in key focus areas such as AML and conduct risk.

If elected I intend to be available to the wider membership, and to serve them to the best of my abilities.

**Name of Candidate:**

David Golding

**Position:**

Senior Business Manager

**Organisation:**

LexisNexis

**Short Biography:**

The majority of my professional career has been in the legal and compliance business.

My career highlights include:

1989-1994: Area Manager and Divisional Sales Manager, CCH Australia

1994-1996: National Sales Manager, Digital Reference Publishing Technology, EIS

1996-1999: National Sales Manager, Australian Tax Practice, Law Book Company (now Thomson Reuters)

2000-2002: General Manager B2B, marketboomer (B2B collaboration)

2002-2003: Business & Product Development Manager, Gilbert + Tobin Compliance e-Learning

2003-2014: Senior Business Development Manager, LAWLEX / SAI Global (content and GRC software)

2014-2015: Product Consultant, LEAP Legal Software

2015-2015: Business Manager, Learning Seat (Compliance e-learning)

2015-Present: Senior Business Manager, LexisNexis, Regulatory Compliance and Emerging Markets

**GRC Experience:**

Since joining SAI Global in 2003, I have been an active member of GRCI.

My role encouraged and required active participation and promotion of GRCI membership to other staff at SAI Global, and to everyone I met who held a Compliance and Risk role.

Colleagues that I have directly worked with include Alf Esteban and Liam O'Brien.

To a large extent, my informal 'mentor' during my career at LAWLEX / SAI Global (and even today), has been Peter Whyntie.

**Previous Contributions to the GRC Institute and the Profession**

Since 1989 I have experienced first-hand how Compliance has grown and evolved from being the responsibility of the internal standard and policy 'police' -to becoming an integral part of progressive management, business control, and good corporate citizenship.

I have actively contributed to this change in culture, through the exposure and growth of Legal Research and Regulatory Compliance products and services in Australia.

At every available opportunity, I have supported GRCI, and promoted GRCI membership as a top priority.

### **What I will contribute going forward as a Director**

1. Practical knowledge of the benefits of compliance as a profit centre
2. Full engagement with other Directors and GRCI members
3. Proven skills in business improvement
4. Commitment to GRCI mission
5. Patience
6. Commercial acumen

### **Skills, qualifications and knowledge I will bring to the Board**

1. Good industry knowledge
2. Professional public speaker
3. Strong relationship-building skills
4. Multi industry-sector engagement

**Name of Candidate:**

Elizabeth Jacka

**Position:**

Director – Legal, Risk and Compliance

**Organisation:**

New Forests Asset Management

**Short Biography:**

Elizabeth has over 20 years' experience in the financial services sector, with over 18 years in senior risk and compliance roles across funds management, trustee services, superannuation and financial planning businesses. During that time, Elizabeth has implemented GRC frameworks across operations in Australia, New Zealand, Singapore and the United States.

Elizabeth has an Honours Degree in Science from Monash University, an MBA from Victoria University, a Master of Laws (Juris Doctor) from Monash University and is a Fellow of the Governance Institute of Australia. Elizabeth is admitted to practice as a solicitor in NSW.

**GRC Experience:**

Elizabeth is experienced in regulatory compliance, portfolio/trade compliance, company secretariat, and the development/implementation and ongoing maintenance of risk and compliance frameworks. More specifically, Elizabeth is experienced in the areas of privacy, AML, bribery/anti-corruption, WHS, conflicts of interest management, whistleblowing and outsourcing. I've also had exposure to GRC frameworks within different jurisdictions and attended GRC forums in different countries.

**Previous Contributions to the GRC Institute and the Profession**

Elizabeth is an active participant in a number of Networking Groups of the GRC Institute and is a Committee Member of the Women in Banking and Finance FRG Committee (Finance, Risk and Governance).

**What I will contribute going forward as a Director**

As a Director on the Board of the GRC Institute, I would support the Managing Director's and the Board's strategy for the organisation. I would like to see the Institute's ongoing growth and its ongoing development and improvement of member benefits and practical GRC tools.

I would like to continue to be an active participant in the Engagement Groups and depending on workload and needs of the GRC Institute, may lead an Engagement Group in the future.



# Candidate and Election Material

## GRCI Director Elections 2018

### **Skills, qualifications and knowledge I will bring to the Board**

With over 20 years financial services experience across a variety of different firms, and 18 years in compliance and risk roles, I will bring the required GRC experience to the Board. With the combination of legal and business qualifications, many years of experience in the GRC space and level of seniority, I will be able to assist the Board in setting a strategy, objectives and a business plan that aligns with Member values and needs.

**Name of Candidate:**

Heather Loewenthal

**Position:**

Governance Risk and Compliance Advisor

**Organisation:**

Self

**Short Biography:**

Heather Loewenthal is an independent governance, risk and compliance advisor who links ethics and compliance to behaviour and tools in organisations. She has over 25 years' experience in banking and financial services. Most recently she was Global Markets Business Partner for Anti-Financial Crime at Deutsche Bank. Previous positions include Chief Compliance Officer for Barclays Pan-African businesses and Global Chief Compliance Officer for the ING Group. She initially joined ING in Australia where she was the Chief Risk Officer and General Counsel. Prior to joining ING, Heather held executive positions with other financial institutions with responsibilities for Australia, Asia and the United States. Heather has participated in numerous industry initiatives to develop the management of compliance risk and to embed ethics in daily business practice.

Heather is Fellow of the GRCI. She was President in 2001 and 2002, was made a Life Member in 2003 and was a contributory author of AS 3806. She was a non-executive director of the Australian Finance Industry Complaints Service Limited (1999-2002), a government sponsored consumer complaints organisation, now merged with the Financial Ombudsman Service (FOS). From 2010 to 2012 she was a Member of the Audit Committee for European Network of Integrity & Compliance Officers (ENICO) now merged with Institute of Business Ethics (IBE).

She is a Fellow of the Australian Institute of Company Directors and holds an LLB and a BSc (Psych) Hons from the University of New South Wales and is a solicitor in NSW.

**GRC Experience:**

Over 25 years managing GRC in banking and financial services. Key areas of experience and expertise working with Australian entities and large global entities include:

- Advising Boards and senior management on and implementing effective governance models to support the effective management of compliance risk and other risks
- Developing policies with a focus on being easy to read, relevant and implementable
- Engaging with internal communications and external PR firms to create effective campaigns to embed appropriate ways of managing compliance risk and other risks
- Assessing the results of monitoring and reporting
- Driving deep dives and forensic reviews to check critical risk indicators
- Reporting to boards on the ongoing effectiveness of the management of compliance risk and other risks
- Building sustainable cultures where the management of compliance risk and other risks were integrated into daily business processes, strategic planning and reward systems
- Delivering high impact change management programmes across global businesses

- Implementing timely remediation programmes to minimise the business impact and costs of multiple and concurrent regulatory inquiries in numerous jurisdictions including major financial crime investigations by US and other authorities.

### **Previous Contributions to the GRC Institute and the Profession**

- Steering Committee member invited to work with ACCC and ASIC and others from industry to form ACPA which became ACI and then GRCI. President 2001 and 2002
- Working Group member for the creation of AS 3806
- Member of the Audit Committee for European Network of Integrity & Compliance Officers (ENICO) which is now merged with Institute of Business Ethics (IBE)
- Author of papers and speaker/presenter on topics related to the management of compliance risk, ethics and culture at conferences and learning sessions in Australia and globally
- Promoted and hosted meetings of Chief Compliance officers of global banks, fund managers and insurers to share best practice and emerging risks
- Mentor of compliance officers and a supporter of those wishing to join the profession

### **What I will contribute going forward as a Director**

I am passionate about ensuring business is supported by compliance officers who are recognised as, and feel like, professionals. As a GRCI Director I will drive the continued growth and strategic direction of the GRCI by supporting the vision to further professionalise compliance with an emphasis on mentoring, education and advocacy.

### **Skills, qualifications and knowledge I will bring to the Board**

Prior experience as a director of Not for Profit Organisations including:

- in Australia as an industry nominated director of the Financial Ombudsman Service (FOS), then FICS, bringing the Industry 'voice' to the Board and on the GRCI Board, in its early years ACPA/ACI, as a director and as President
- in Europe on the ENICO Audit Committee.

Significant years of experience reporting to boards and sitting on boards in the corporate environment has allowed the development of skills and knowledge required by a director.

I have formal qualifications as a Fellow of the Australian Institute of Company Directors.

**Name of Candidate:**

Kevin Masling

**Position:**

Chief Risk Officer

**Organisation:**

TCorp

**Short Biography:**

I joined NSW Treasury Corporation (TCorp) in December 2016 as Chief Risk Officer.

I have more than 30 years' experience in Financial Services, covering Risk Management, Systems Development and Project Management. Leading change across Risk and Project Management, Kevin has managed both cultural and structural changes to organisations within tight time constraints and budgets.

Prior to joining TCorp, I was the Chief Risk Officer at Bank of Tokyo, Mitsubishi in Australia and New Zealand. Previously, was the Head of Market Risk at CBA, Global Head of Market Risk for NAB post the currency options crisis of 2004, Project Director at ING Australia post ASICs unit pricing enforcement undertaking in 2005, and more recently, the Head of Risk at Lloyds Australia and an integral part of the team that both setup the local Banking license and subsequent closure of the Bank in Australia.

I hold a Bachelor of Economics, Masters in Applied Finance and an MBA, and have lectured in finance and spoken at various risk conferences

**GRC Experience:**

Have worked across market, credit and operational risk across my career, and particularly through crises. Have also led large teams and setup risk management functions in various organisations. Experience covers financial markets, corporate banking and funds management across some of the largest domestic and foreign banks.

**Previous Contributions to the GRC Institute and the Profession**

Have led and embedded risk management change across numerous organisations.

**What I will contribute going forward as a Director**

Having recently completed the AICD course, I would be looking to bring those newly learned skills to the GRCI Board. I have a passionate interest in governance and the improvement of risk management standards. I would like to see continual improvement in the industry and if being part of the GRCI Board can help that aspiration in some small way, I would be most interested to be part of that.

### **Skills, qualifications and knowledge I will bring to the Board**

Having worked through and led two enforceable undertakings as well as building and rebuilding risk management functionality I have built a strong skill base and connections across the industry.

Bachelor of Economics

Masters Applied Finance

MBA

**Name of Candidate:**

Elizabeth Moylan

**Position:**

Global Head of Operational Risk and Compliance, Loans & Specialised Finance

**Organisation:**

ANZ Bank

**Short Biography:**

Elizabeth has over 16 years' experience working in Compliance and Operational Risk Management in Financial Services.

During her career she has held positions in Institutional, Retail and Offshore banking divisions of ANZ in a variety of Compliance and Operational Risk management roles. These roles have included Head of Risk Amerika Samoa (an unincorporated Territory of the United States of America) and responsibility for the Policy Development and the implementation of the Anti-Money Laundering and Counter Terrorist Financing Act in the ANZ Institutional businesses in 2007. She has worked in Risk Management positions supporting Retail Banking businesses (Branch Network, Consumer Deposit Products, Home Loans, Consumer Lending) and in roles supporting Institutional Banking Businesses (Specialised Lending, Transaction Banking, Markets, Relationship Banking).

For the past 9 years she has been the Global Head of Operational Risk and Compliance for ANZ's Institutional Lending business, Loans and Specialised Finance. In this role she has been responsible for the global implementation of the Regulatory and Prudential Compliance, Operational Risk, Technology and Information Security, Business Continuity Management, Health Safety and Wellbeing Frameworks across the Specialised Lending global footprint (Project Finance, Export Finance, Leveraged Acquisition Finance, Structured Asset Finance, Structured Receivables Finance, Syndications and Agency). This role incorporated Key Controls Testing across the global business and managing offshore Regulatory requirements.

Elizabeth joined ANZ in 2002 as a Legal (Honours) and Commerce graduate. During her tenure she has become an Admitted Barrister and Solicitor of the Supreme Court of New South Wales and completed a Masters of Business Administration (MBA).

**GRC Experience:**

As a Compliance and Operational Risk professional I understand the importance of effective Risk Management in corporate enterprises. I have worked in First and Second Line of Defence positions and appreciate the challenges inherent in setting up and implementing Frameworks. I also appreciate the complexity in managing Risk and Compliance effectively in a dynamic business context, often with finite resources and increasing regulatory pressures.

Areas of experience include Anti-Money Laundering, Sanctions, Privacy and Client Confidentiality regulation, Technology Risk and Information Security, Cyber Security, BEAR, Financial Crime and Occupational Health and Safety.

I'm passionate about monitoring the evolution of technology and the regulatory response to the related emerging ethical challenges - such as creating regulatory parameters for data sharing and Artificial Intelligence.

I'm keen to make a difference, influence positive change and advocate for important societal issues. I have previously served on the Victorian Branch Committee of Amnesty International and I'm a current member of the Women in Banking and Finance (WIBF).

In 2018, with the Banking Royal Commission in Australia, there has never been more need to question how we approach Risk Management and Compliance. I'm keen to support the evolution of regulation to balance community concerns and the need to foster technological innovation.

### **Previous Contributions to the GRC Institute and the Profession**

Elizabeth is an active contributor to Social Media discussions on a range of management, Compliance and Risk Management topics. The Australian Banking Royal Commission has highlighted many challenges for the Financial Services sector particularly in relation to a need to strengthen Risk Management focus and attention. Elizabeth has been involved implementing the Banking Executive Accountability Regime (BEAR) and the significant changes this will result in the Senior Executive responsibilities.

Elizabeth recently co-authored an article on LinkedIn 'Agile and Effective Risk Management – Can they Co-exist'. This article explored the inherent tension between traditional risk management and agile methodologies.

Working to implement Anti-Money Laundering reform in ANZ, Elizabeth maintains a key interest in Financial Crime, Sanctions management and the need for robust controls in the financial system.

### **What I will contribute going forward as a Director**

I'm keen to make a difference, influence positive change and advocate for important societal issues. I have previously served on the Victorian Branch Committee of Amnesty International and I'm a current member of the Women in Banking and Finance (WIBF).

With the fast paced development of digital innovation, RegTech and Artificial Intelligence there is a need for Regulatory guidance to be developed that can provide safeguards.

Elon Musk from Tesla has referenced the need for Governments and Regulators to be on the front foot in terms of Regulatory parameters for these new technological innovations. For instance the Privacy implications associated with data collection and sharing between organisations to predict customer needs.

If elected I would seek to establish a sub-committee within GRCI to investigate and advocate for law reform in relation to Technological innovation. In particular to ensure adequate disclosures to consumers, legal liabilities and parameters for acceptable data sharing and use.

### **Skills, qualifications and knowledge I will bring to the Board**

Elizabeth has over 16 years' experience working in Compliance and Operational Risk Management in Financial Services. She has experience across Investment Banking, Offshore and Retail Banking and understands the regulatory challenges inherent in each client segment.

Supporting the Global Institutional Lending business at ANZ for the past 9 years, Elizabeth has deep insights into the regulatory requirements in Financial Centres such as Singapore, Hong Kong, China, US and UK. In particular AML and Sanctions regimes, Privacy and Client Confidentiality, Conflicts Management and Licencing and Registration requirements.

Elizabeth has a Law degree (Honours) and is admitted as a Barrister and Solicitor of the Supreme Court of New South Wales. She also holds a Commerce degree and Master of Business Administration (MBA).

Elizabeth's LinkedIn Profile can be found at: <http://linkedin.com/in/elizabeth-moylan-llb-mba-61a819100>