

Name of Candidate:

Akhil Sethi

Position:

Managing Director

Organisation:

APIICAL

Short Biography:

Akhil is a seasoned and versatile senior transformation leader, project/program director, strategy & Risk advisory with extensive experience across multiple geographies & diverse lines of businesses.

With a passion for organisational and customer success and excellent leadership skills, he has successfully managed large transformations and partnerships across the industry. Akhil has a proven cross-functional track record of successful strategy delivery and execution specializing in Transformation, Technology and Business Management, Integration and Divestment, Risk Management & Compliance, Assurance, Processes and Governance, Strategic Sourcing, Procurement, Product, Project and Program management.

A senior leader, visionary in scope, Akhil is a go-getter who makes things happen with minimal risk, issues and drama, resulting in a high- performance environment with best practice enablement.

Akhil has been endorsed as a genuine, credible and committed professional who maintains a high level of authenticity, ethical and professional behaviour, good conduct and integrity. He also advocates all aspects of Diversity and Inclusion in the workplace.

GRC Experience:

Akhil has vast experience and understanding of Governance, Risk and Compliance frameworks including managing Operational risk, reputation & brand risk, behavioural & conduct risk, regulatory & compliance risk, environmental and social risk, legal risk, cyber, IT, data and information security risk.

He has experience in rolling out compliance as per industry regulations within the organisations, controlling the risk appetite, implementation of risk mitigation strategies and compliance & risk controls standards, ISO frameworks such as ISO31000, COBIT, ISO27001, ISO19600, PCI-DSS and other industry frameworks with line 1 or line 2 remit.

He possesses post-tertiary qualifications in managing Risk & Compliance from AICD and GRCI (undergoing) and is a member of AICD, ACS, GRCI, AustCyber and the RegTech Association Australia.

He also has a deep understanding of several GRC Platforms such as RSA Archer; Service Now; IBM Open Pages; SAP GRC; and Refinitiv.

Previous Contributions to the GRC Institute and the Profession

Akhil is a paid member of GRCI since 2019 and previously have contributed by continuously attending and contributing to the various initiatives and series such as:

- Remotely Operating your Compliance Program Discussion Group
- POV event planning surveys & Point of View Forums
- Good Disclosures Principles 101, 'new normal' and Modern slavery sessions

Moreover, Akhil has contributed to the profession immensely while managing and driving Governance, Risk and Compliance initiatives and best practices in approximately last 15 years of his career. He has also instrumental in interacting with regulatory bodies like APRA, APCA, ASIC and RBA in his previous roles while running compliance programs primarily within FinTech and Financial Services industry.

What I will contribute going forward as a Director

With his willingness to commit and taking it to the next level, Akhil can contribute in several ways within all aspects of the criteria and driving GRCI's mission and vision while contributing towards a skills-based and diversified board.

Akhil has done some research on GRCI governance and at a board/Director level he can offer help specifically in GRCIs growth, strategic planning, refresh product/services/course offering, understanding risk from competitors in the market, understanding regulatory compliance standards, building a niche brand and deep penetration within the market, business and product performance and any corporate social responsibility obligations. Akhil do that by relationships he does offer and the deep diversified industry expertise he has within various domains.

Akhil can also contribute primarily within but not limited to Strategic Partnerships, Strategic direction in member education, Financials, Improved Governance, Product and Technology Management and Human Resources. Moreover, he can also contribute to one of the committees out of HR, RTO or Risk & Audit Committee should there is a need.

Skills, qualifications and knowledge I will bring to the Board

Akhil is highly experienced in managing Risk and Compliance frameworks and possesses post-tertiary qualifications in managing Risk & Compliance from AICD and GRCI (undergoing) and is a member of AICD, ACS, GRCI, AustCyber and the RegTech Association Australia.

He has a deep understanding of regulatory and compliance public policies, number of Risk and Compliance frameworks and several GRC Platforms such as RSA Archer; Service Now; IBM Open Pages; SAP GRC; and Refinitiv.

Akhil has lived and worked globally in multiple countries across three continents and hence he brings in fresh perspectives and diversified views to the GRCI Board including a strong understanding of client/member mindset, subject matter expertise, people perspectives and culture.

Akhil has served on executive committees and currently a Board Observer with a small financial institution based in Sydney.

Name of Candidate:

Annette Donselaar

Position:

Global Chief Administration Officer and General Counsel

Organisation:

Chubb Life

Short Biography:

Annette Donselaar is the Global Chief Administration Officer and General Counsel for Chubb Life Insurance, based in Hong Kong. I am responsible for the strategic development and execution of Legal and Compliance initiatives for Chubb Life. In addition, I also oversee the Chubb Life Human Resources, Communications, Internal Controls, Assurance/ Audit and general administration functions.

Chubb Life operates in 30 countries around the world, with a heavy emphasis on Asia. Distribution is primarily through exclusive agents with a growing focus on digital and partnerships with brokers and banks.

I have spent almost 30 years in the insurance and financial services industry across Australia, Asia, UK/Western Europe, South America and the Middle East. Before joining Chubb Life in January 2012, I was SVP and Chief Compliance Officer for New York Life International (based in New York). I worked in Hong Kong and Australia in senior Compliance roles including Chief Compliance Officer, AIA; Head of compliance (Australia), National Australia Bank and National Compliance Manager, ASIC.

I hold bachelor's degrees in Arts, Law (Honors) and Commerce; two master's degrees in Law, a Diploma in Financial Planning, a Graduate Diploma of Compliance [Honors] and the GRCI Graduate Certificate in Compliance Management.

GRC Experience:

I have extensive experience as a Compliance Professional, both in Australia and internationally. Over the past 30 years I have helped develop Compliance teams from scratch, restructure and build existing teams and drive strategic compliance initiatives in conjunction with the business. I currently oversee the compliance programs in over 30 countries, assisting my teams in working with local regulators, building local talent, coaching and compliance framework development.

I have primarily spent my career as an in-house practitioner in Financial Services, but also worked with ASIC in establishing the Compliance Directorate (now part of ASIC Consumer Protection). I was also a foundation member of the Australian Banking Industry Ombudsman Office [now part of the Australian Financial Complaints Authority], where I reviewed and developed banking compliance programs way back in 1989!

Previous Contributions to the GRC Institute and the Profession

I joined the GRCI shortly after it was formed in 1996 and have been an active member ever since. I helped develop the GRCI Accreditation and Education Committee and was the Chair for many years. During that time, we created the minimum education standards for Compliance Practitioners, developed the strategy for the GRCI to become a Registered Training Organisation and implemented the Continuous Professional Development standards program.

I have previously been a member of the GRCI Board in the early 2000's and held the role of President in 2008-9. Unfortunately, I had to step down from the Board due to my relocation to New York but have continued to support the GRCI as a speaker at GRCI Conventions and authoring educational materials (notably I wrote the GRCI Certificate IV in Compliance and Risk Management and the Graduate Certificate in Compliance and Risk Management).

I have also led GRCI working groups, including the one that produced the 2009 'Recognition of Compliance Professionals' White Paper and a Joint Paper with ASIC on Enforceable Undertakings. One of my papers also won the GRCI Brian Sharpe Memorial Essay Award (2012). I have also received a GRCI commendation for my contribution to developing compliance as a profession.

I am a CCP (Fellow) and a Life Member of the GRCI.

What I will contribute going forward as a Director

As an active Company Director on a range of Boards (both past and present), I am fully aware of the work and dedication required to be part of this Board. As a previous GRCI board member and President, I understand the issues that need to be addressed, debated and resolved.

I believe my experience, deep knowledge of the industry and the GRCI will assist the board as it works through the strategic discussions on 'what next' for the GRCI.

My passion has also been to create the infrastructure and career development to ensure that Compliance is regarded as a Profession. My previous work on the GRCI Board, working groups and developing training resources has all been focused to this end. My mission is to ensure that the GRCI vision to 'Professionalise Compliance' becomes a reality that benefits our members and the industry.

Skills, qualifications and knowledge I will bring to the Board

With my relocation back to Hong Kong, I am ready to help serve the members of the GRCI on the Board. I believe my extensive knowledge of compliance; the industry and our Institute can assist in driving the strategic decisions that need to be made.

I also believe the Board will benefit from my experience of compliance programs outside Australia, as we work through 'what is the compliance profession.' I am committed to providing members the resources they need, and the continuous improvement required to drive advocacy of our profession to regulators and the industry at large.

Name of Candidate:

Carolyn Hanson

Position:

GM Compliance and Regulatory Affairs

Organisation:

RACQ

Short Biography:

Carolyn Hanson has been in the compliance and risk field for more than 25 years most recently holding the position of Chief Risk Officer for PayPal's Australian entity. At PayPal Carolyn was the Banking Executive Accountability Regime Accountable Person for Risk, Compliance, AML and complaints. Prior to joining PayPal Carolyn was the Head of Financial Crime Compliance for the Wealth Management division of CBA.

Working mostly in the international environment Australia is Carolyn's seventh country of residence but her compliance, risk and AML experience has been gained in a number of jurisdictions including the UK, Isle of Man, Bahamas, Trinidad, Barbados and Dubai.

As Course Director (International) for the International Compliance Association (ICA) Carolyn facilitated workshops on compliance, risk and AML in Bahamas, Barbados, Bermuda, Cayman, Asia and the Middle East and co-authored the original ICA course materials. Since arriving in Australia

Carolyn has been an active member of the GRCI and has been a Director and past President of the Institute.

GRC Experience:

Carolyn's experience in governance risk and compliance covers multiple industry sectors including banking, insurance, investments and fintech. Carolyn has been in both line one and line two risk and compliance roles including Chief Compliance Officer and Chief Risk Officer and has been a BEAR Accountable Person for risk, compliance and AML. Carolyn is familiar with APRA, ASIC and AUSTRAC regimes in Australia. At present Carolyn is completing the Australian Institute of Company Directors (AICD) Directors course and is a member of the AICD.

Previous Contributions to the GRC Institute and the Profession

Carolyn has previously been a director and past President of the GRCI and has contributed to GRCI networking and training events including speaking at the GRCI Annual Conference.

Carolyn's main contribution to the GRCI has been in the area of financial crime compliance establishing the AML Discussion Group and supporting the MD in the development of the Financial Crime Compliance Summit, providing topics and sourcing potential speakers.

Carolyn has been a consistent advocate for the GRCI over the time of her membership and was awarded Lifetime membership for her service on the Board.

What I will contribute going forward as a Director

I completed my TAE in 2019 and would seek to bring this skill set to bear in the GRCI in producing relevant, contemporary training materials and facilitating courses for the GRCI and participating in the CPD and training committees and projects.

I truly believe in the power of membership associations and will strive to increase the corporate membership of the GRCI through networking and outreach and also seek to obtain recognition of Compliance as a profession which has been a long held personal goal.

I firmly believe GRCI can go from strength to strength and that in the post Royal Commission environment compliance and Risk will continue to be a major focus for financial services and other industries. Building on this will be my contribution to the GRCI should I be re elected to the Board.

Skills, qualifications and knowledge I will bring to the Board

I am a Certified Compliance Professional with the GRCI, a Fellow of the International Compliance Association, have completed the ACAMs certification and am completing the AICD Directors course.

I have over 25 years of international compliance experience and until recently was a BEAR Accountable Person for compliance, risk, AML and complaints. I have over 7 years experience in the Australian regulated financial services industry. I am T&C qualified under the UK regime and hold the TAE in Australia.

I believe my diverse compliance and risk background coupled with international experience in both industry and membership associations have been and will continue to be useful to the GRCI in developing its Vision and Mission.

Name of Candidate:

James Kelly

Position:

Chief Compliance Officer

Organisation:

Westpac Banking Corporation

Short Biography:

Jamie joined Westpac in October 2016 and leads the compliance function for the Westpac Group.

From 2008 to 2016, Jamie held senior legal and compliance roles with Standard Chartered Bank based in Singapore, most recently as the Group Head Legal and Compliance. Prior to SCB, Jamie gained extensive experience in legal and compliance roles with General Electric and Citibank. He began his legal career at Freehill Hollingdale & Page in Sydney.

GRC Experience:

Jamie is a member of GRCI through the Westpac Banking Corporation Principal Membership. As outlined in his biography, Jamie has had extensive experience in senior legal and compliance roles, both domestically and internationally, in addition to his legal experience.

Previous Contributions to the GRC Institute and the Profession

Over past 4 years since returning to Australia, Jamie has been active in compliance circles, including Chairing the Compliance chapter of the Risk Management Association for 2 years on behalf of the profession.

Within Westpac, Jamie has championed the professional development of staff within the compliance function and those with compliance responsibilities, utilising, for those who qualify, the GRCI Certificate IV in Compliance and Risk Management.

What I will contribute going forward as a Director

I have over 25 years practitioner experience in the profession, having served as Group CCO for two large financial institutions (Standard Chartered Bank and WBC). I have a desire to professionalise the compliance capabilities, and to bring greater consistency and definition to how the function is regarded, including by regulators and corporations. It is important to develop the next generation of compliance professionals and to steer the nature of the role to a strategic, partnering, independent, expert capability, which over time will need greater technology, process and data based insight skills.

I will also have the benefit of my overall functional capability and experience at WBC, where I have 300 professionals working on matters very relevant to the GRCI agenda and vision.

Candidate and Election Material

GRCI Director Elections 2020

Skills, qualifications and knowledge I will bring to the Board

Extensive knowledge of regulation, regulatory change, regulatory relations, compliance frameworks, risk governance, financial crime, conduct and ethics.

Experienced functional manager with track record in building compliance functions at large scale, including developing operating models, managing budget and efficiencies, technology capabilities and employee engagement/training.

Name of Candidate:

Julie Winkler

Position:

Regional Compliance Officer APAC

Organisation:

Zurich

Short Biography:

I have worked in senior Compliance and Risk management roles for over 33 years with responsibilities covering Australia and New Zealand and Asia Pacific. I commenced my career at Westpac as a lawyer and moved through a number of increasingly senior compliance roles during my sixteen years there before moving to QLD in 2003 to take on the Head of Compliance role with BOQ on a four year contract.

In 2007 I joined GE Capital In Sydney as the Chief Risk and Compliance Officer for the Insurance businesses in Australia and NZ before moving to Hong Kong in 2014 to take on the role of Group Chief Compliance Officer for FWD which was at that time a start- up Insurance company in Hong Kong. FWD, is now a major Pan Asia Insurer in a number of markets across Asia. After over six years at FWD building the Compliance program for the Group from scratch and working across Japan, Singapore, Thailand, Philippines, Indonesia, Malaysia, Vietnam and Hong Kong, I joined Zurich in Nov 2019 to Head up Compliance for its operations across Asia and Australia.

I hold degrees in both law and in Commerce from the University of New South Wales and became a Fellow of the Institute in 2007 and an Internationally Accredited Compliance Professional in 2015.

I have spent my career supporting multiple businesses in navigating the complexities of changing regulatory and political interventions to build agile and sustainable operations. Protection of brand and reputation and achieving great outcomes for business and for customers by understanding and balancing regulatory and ethical requirements to achieve corporate goals is my passion.

GRC Experience:

Deep knowledge and experience in Compliance, Risk Management and Governance gained over many years of working in financial services in Australia and in Asia Pacific. I have built compliance programs from scratch many times in my career and have been involved in the industry and in debates in relation to regulatory change for many years. I have presented at conferences in both Australia and Asia to advance the thinking in relation to current and emerging topics. I am particularly interested in the opportunities that digitalisation is bringing to the way we undertake our work and being prepared for the many disruptions we are seeing in the industries within which we operate.

Previous Contributions to the GRC Institute and the Profession

I have been a member of the Institute for approximately 30 years and have served on the Board previously for two terms from 2003 to 2006 and again from 2009 to 2011. During that time I also held the position of Vice President and established the QLD chapter of the Institute at that time and served on a number of committees including the Marketing and Membership committee, the Events committee and the Executive Committee, and the Training and Accreditation committee.

I am passionate about the profession and seeing it to continue to develop, remain relevant and to be a career of choice.

What I will contribute going forward as a Director

I am passionate about the profession and seeing it continue to evolve as a respected and critical function in organisations. I think the institute has an increasingly important role to play in thought leadership in Compliance and I am very committed to ensure that we have a voice on important topics where we can advance the debate and seek positive outcomes on a range of very important current topics.

I am also passionate about developing the next generation of Compliance professionals and think the time is right for me to again join the Board and to again play an active role in the Institute and its strategy going forward.

I bring to the table my years of experience in working in multiple organisations in senior positions and with a variety of Boards and am eager to once again play a very active role.

The Institute exists for its members and I am keen to be involved in the next stage of its journey to provide value and to remain relevant. The skill set required of risk and compliance professionals is evolving and it is important that the Institute is keeping abreast of these changes and continuing to support members in their careers.

Skills, qualifications and knowledge I will bring to the Board

Having been a past director and a member of the Institute for many years I bring significant knowledge and experience in relation to a wide range of areas that are relevant to the Institute. I have degrees in law and in commerce and have been a Fellow of Compliance for over 13 years. Having worked in Asia for almost seven years I look forward to being able to bring a broad perspective to the board's deliberations from my experience gained in working in many emerging markets in Asia and in mature markets like Australia and Singapore. With issues we have seen arising in Australia in recent times I think that there is an opportunity for the Institute to play a major role as a thought leader in current debates and to position itself as a respected voice on many important topics for the benefit of its members and for the profession.